

MUNICIPAL PROFILE - MAPHUMULO MUNICIPALITY

MUNICIPALITY		
Municipal Profile		
Population	109 157	
2011 No. of Councillors	22	
2016 No. of Councillors	22	
Current Political make-up	African National Congress	12
	Inkatha Freedom Party	8
	National Freedom Party	2
2011 Registered Voters	41 346	
2014 Registered Voters	46 717	

DETAILS OF THE OFFICE BEARERS									
MUNICIPALITY	NAME OF SPEAKER	POLITICAL PARTY	ELECTION OF EXECUTIVE COMMITTEE (Formula: [number of party seats ÷ by total number of councillors) x size of EXCO]			NAME OF MAYOR	POLITICAL PARTY	NAME OF DEP MAYOR	POLITICAL PARTY
			NO. OF MEMBERS	NAMES OF MEMBERS	POLITICAL PARTY				
Maphumulo	V E Mbatha	ANC	4	NP Nxumalo ML Ngidi HN Ngcobo MP Mbonambi	IFP IFP ANC ANC	HN Ngcobo	ANC	MP Mbonambi	ANC

CONTACT DETAILS OF 54/56 MANAGERS				
No	Designation	Manager's Name	Telephone and/or Cellphone Number	Email address
1	Municipal Manager	Mr. P.N. Mhlongo		
2	Director Technical Services	Vacant		
3	Director Corporate Services	Mr T Khuluse		
4	Community Services/ Economic Development & Planning.	Mr C.S. Mhlongo Mr K.M.N Duma		
5	Chief Financial Officer			

PILLAR ONE: PUTTING PEOPLE FIRST

Ward Committee Establishment

All 74 ward committees were established in line with the provincial guidelines on Ward Committee Operations and Functionality. The establishment process included the following elements:

- Customisation of provincial guidelines
- Adoption of the ward committee establishment and operations policy
- Identification of stakeholders through a stakeholder register
- Election of ward committees
- Launch and swearing in of newly elected committees

As per the adopted policies, municipalities are encouraged to continuously to fill in all vacancies within their ward committees as soon as they become available.

Functionality Status

Ward committee functionality assessments are conducted on quarterly basis to assess the functionality status of ward committees in a district. Evidence submitted by municipalities is verified by officials from the municipality and the department. Verified functionality assessments conducted during the period April to June 2016 under Ilembe District

Name of LM	Total number of wards	Number of functional wards	Number of non-functional wards	Comments
Mandeni	17	2, 6, 11 & 17	1, 3, 4, 5, 7, 8, 9, 10, 12, 13, 14, 15 & 16	Lack of evidence on community meetings and ward reports
Kwadukuza	27	0	27	Non-submission of evidence in all indicators
Ndwedwe	19	3, 6, 7, 12, & 14	1, 2, 4, 5, 8, 9, 10, 11, 13, 15, 16, 17, 18 & 19	Lack of evidence in ward reports and community meetings
Maphumulo	11	0	11	No evidence on wards committee meetings and ward reports
Total	74	9	65	

Out of Pocket Expense Implementation

Maphumulo have been receiving a grant allocation through the equitable share, to compensate for ward committee activities.

Council resolution	Total no of wards	Out of pocket expense per municipality
Yes	11	R600

Community Feedback Meeting

The following table provides the total number of community feedback meetings convened by ward councilors during the period April to June 2016 in the district.

Table of Community report back Meetings

Name of LM	Total Number of wards	Number of community report back meetings convened by ward councillors	Comments
Mandeni	17	9	No evidence of meetings submitted for some wards
Kwadukuza	27	0	No evidence of meetings submitted for all wards
Ndwedwe	19	5	No evidence of meetings submitted for some wards
Maphumulo	11	0	No evidence of meetings submitted for all wards
	74	9	

Traditional leadership Representation and Participation

There is representation of Traditional leadership in the ward committees and there are invited to participate in the council

Exit Strategy for Ward Committee

The term of office for ward committees is five years as contemplated in S24 of the Municipal Systems Act 2000 as amended. Municipalities were expected to develop an exit strategy for serving members in preparation for the local government elections. Guidelines will be distributed to municipalities for customization and implementation before April 2016. The election plan was presented during Provincial Steering Committee Meeting held on the 20th July 2015.

CDW PROGRAMME

The purpose of CDWs as to “work with government and other stakeholders in order to help bridge the gap between government and the community, strengthen the integration and coordination between services provided by government and access to the services by communities”. The objective of the programme is to:

- i. Improve Service delivery an accessibility of services
- ii. Assist with governmental coordination , both between different spheres and different line departments
- iii. Facilitate community development and strengthen interaction between government and communities and
- iv. Support participatory democracy,

CDWs provide administrative support in the war rooms and coordinate profiling, making referrals to government departments under Operation Sukuma Sakhe (OSS).

CDWs are also playing the vital role in supporting the implementation of the Back to Basics Programme (B2B). Back to Basics is aimed at strengthening local government by getting the basics right, and local government, together with other spheres of government. Cabinet has resolved that B2B must be integrated with OSS.

In Ilembe District there are 43 active CDWs. There are 33 functional war rooms with CDWs.

In Mandeni there are 9 CDWs,

KwaDukuza has 10 CDWs,

Ndwedwe has 13 CDWs and

Maphumulo has 11 CDWs.

CDWs attend ward committee meetings to present government programmes, collect issues, collect information that might be related to service delivery protests and monitor ward committee functionality. CDWs assist in mobilizing communities to attend and they attend ward community/Public meetings to collect community issues for the attention of other stakeholders. CDWs refer issues to relevant Departments for actioning.

Contact Details: CDWP

KZN294 Maphumulo	1	Mr. Nkosinomusa Vuminkosi Sibiya	0832011089	nkosinomusa.sibiya@kzncogta.gov.za
	2	Mr. Sthembiso B Ntanz	0782464332	sthembis0.ntanzi@kzncogta.gov.za
	3	Ms. Sindisiwe Ngiba	0832011072	sindisiwe.ngiba@kzncogta.gov.za
	4	Ms. Zandile Ntuli	0837762116	zandile.ntuli@kzncogta.gov.za
	5	Ms. Nomfanelo Dineo Mhlono	0782448471	nomfanelo.mhlono@kzncogta.gov.za
	6	Ms. Bongiwe Nothando Mavundla	0782431005	bongiwe.mavundla@kzncogta.gov.za
	7	Ms. Nonhlanhla Precious Williamson	0832011109	nonhlanhla.williamson@kzncogta.gov.za
	8	Mr. Muziwendoda Bernard Nxumalo	0832010550	muziwendoda.nxumalo@kzncogta.gov.za
	9	Ms. Nompumelelo Angeline Mbonambi	0832011092	nompumelelo.mbonambi@kzncogta.gov.za
	1	Ms. Samukelisiwe Thelma Hlongwane (Zondi)	0837762033	samukelisiwe.shezi@kzncogta.gov.za
	1	Mr. Vusi Jabulani Sithole	0832011071	vusi.sithole@kzncogta.gov.za

iLembe District Municipality



	Maphumulo Local Municipality		Mandeni Local Municipality
	Ndwedwe Local Municipality		KwaDukuza Local Municipality

PURPOSE

The purpose of this report is to apprise on the status of municipal services in the iLembe District Municipality, The district Municipality is made up of 4 local Municipalities namely Mandeni, KwaDukuza, Ndwedwe and Maphumulo.

BACKLOGS AND SERVICE DELIVERY

The table below accounts for backlogs that have been recorded by Statistic South Africa (StatsSA) as at 2011, when the survey was conducted.

TABLE 1: ACCESS TO MUNICIPAL SERVICE IN ILEMBE DM

Household access to services (% of total households)										
Municipality	Number of households	Piped (tap) water inside dwelling unit or yard or on community standpipe		households unserved	Pit latrine or higher LoS (pit, flush or chemical)		households unserved	Electricity (household connection)		households unserved
		households served	% served		households served	% served		households served	% served	
Mandeni	38 235	31 581	82.6	6 654	35 909	93.9	2 326	32 341	84.6	5 894
KwaDukuza	70 284	66 006	93.9	4 278	66 111	94.1	4 173	64 129	91.2	6 155
Ndwedwe	29 200	20 409	69.9	8 791	25 154	86.1	4 046	11 044	37.8	18 156
Maphumulo	19 973	9 213	46.1	10 760	16 169	81.0	3 804	6 790	34.0	13 183
iLembe district	157 692	127 209	80.7	30 483	143 343	90.9	14 349	114 305	72.5	43 387

Access to Water: 80.7% of households in iLembe DM have access to water inside their dwelling units or on community standpipes. Of the 80.7%, 69.9% is in Ndwedwe LM. Maphumulo LM is the least served municipality with 46.1% access to safe drinking water.

Access to Electricity: 72.5% of households in iLembe DM have access to electricity in their dwelling units. Of the 72.5%, 37.8% is in Ndwedwe LM. Ndwedwe LM is the least served, with only 37.8% of households with access to a household connection.

Access to Sanitation: 90.9% of households in iLembe DM have access to an acceptable standard of sanitation. Of the 90.9%, 88.9% is in Ndwedwe LM. Maphumulo LM is the least served with 81% of households with access to standardized sanitation facilities.

2.1 CAPEX ALLOCATION

iLembe District has been allocated the following grants to address service delivery backlogs across its jurisdiction for the MTEF period, namely;

TABLE 2: ILEMBE DM CAPEX ALLOCATION

GRANT	2015/16 FY	2016/17 FY	2017/18 FY	TOTAL ALLOCATION
RBIG	126 166	100 000	130 000	356 166
MIG	189 590	197 555	209 495	596 640
MWIG	140 154	42 032	80 644	182 186
TOTAL				1 134 992

LM's under iLembe District have been allocated the following grants to address service delivery backlogs across its jurisdiction for the MTEF period, namely;

TABLE 3: 3.1 MAPHUMULO LM CAPEX ALLOCATION 21 689 22 409 23 489

GRANT	2015/16 FY	2016/17 FY	2017/18 FY	TOTAL ALLOCATION
MIG	21 689	22 409	23 489	67 587
INEP munic (Reticulation)	8 000	8 500	12 000	28 500
INEP eskom (Bulk)				
TOTAL				67 615

2.2 ACCESS TO ELECTRICITY

First priority has been given to those areas which have sufficient bulk capacity in their proximity. Where the bulk capacity is inadequate at present, the provision of additional bulk capacity has been prioritized, before the individual household connections can be addressed.

2.2.1 Identified Electrification Projects

The iLembe DM has various projects over the MTEF period from the INEP Municipal grant. The under listed projects have been identified for implementation to address backlogs over the three year period.

TABLE 4.1: ELECTRIFICATION PROJECTS

LOCAL MUNICIPALITY	PROJECT NAME	PROJECT AMOUNT
Maphumulo		R8, 000, 000. 00

2.3 ACCESS TO WATER

Statistics South Africa (StatsSA) data shows that there are still households that do not have formal access to water (i.e. water piped in dwelling) are still existent. . The data below is extracted from a study conducted by the Department of Water Affairs (DWA) published in March 2013, titled "24 Priority District Municipalities: Water Services Acceleration Programme". The report classifies communities with no access to water namely;

- Class 1 : Communities having no formal water infrastructure
- Class 2 : Communities requiring extension to existing infrastructure
- Class 3 : Communities with access to infrastructure but no access to water because of functionality problems
- Class 3 : Communities with access to infrastructure but no access to water because of source problems

TABLE 5: ACCESS TO WATER

DISTRICT MUNICIPALITY	Class	Total No. of Settlements	Total No. of HH	Total Population	Total No. of Needy HH
ILEMBE	1	2 195		181 564	22 957
	2	916		317 252	17 274
	3	7 565	124	809 555	61 701
	4	2 215	20 661	137 745	13 907
				TOTAL	112 839

2.4 WATER QUALITY ASSESSMENT

Once a year, a study is conducted by the Department of Water Affairs (DWA) which assesses the quality of drinking water; Blue Drop; and waste water treatment plants namely the Green Drop. The quality and final scoring of the District is determined by a number of key indicators. DWA has made the following commentaries pertaining to each assessment for iLembe DM as per the latest 2012 report;

TABLE 6: WATER QUALITY

BLUE DROP	2012		2011		2010
Ilembe (& Umgeni Water)	95.38 %	▲	85.54 %	▲	50.80 %

GREEN DROP	2012		2011		2009 / 2010
	New "risk methodology low score = beter		Old performance methodology high score = beter		Old performance methodology high score = beter
Ilembe	55.30 %		80.40 %	▲	43.00 %

(Source: DWA)

2.4.1 Blue Drop Assessment

On closer inspection, some systems showed remarkable improvements. Unfortunately, the significant decline in performance measured in other systems needs to be closely monitored by the municipality and where applicable, service providers. The Department of Water Affairs is confident that the iLembe District Municipality, supported by WSSA, Umgeni Water and Siza Water will put systems in place to prevent further decline in service delivery, and where needed, will urgently improve the quality of drinking water supplied to residents. (DWA 2012)

2.4.2 Green Drop Assessment

The Ilembe District Municipality wastewater service performance varies from excellent to unsatisfactory. The municipal score of 80.4% indicate that expectations of the Green Drop programme are not met as yet.

2.5 MUNICIPAL INFRASTRUCTURE GRANT

2.5.1 iLembe District Municipality

The District Municipality has been allocated the sum of R 579, 874 million over the 3 year MTEF period for the Municipal Infrastructure Grant (MIG). The municipality has sufficient approved projects for full commitment over the MTEF period. This fund was granted by the National Department of COGTA which tackles an array of service delivery backlogs that are determined by the MIG Formula. The municipality was allocated R 184, 985 million and has spent 65, 72% as at end of May 2015; of its allocation for the 2014/15 financial year. Listed below are projects currently under construction by the iLembe District Municipality:

TABLE 7: iLembe DM MIG PROJECT LIST

PROJECT NAME	PROJECT AMOUNT
Ozwathini Gcwensa Phambela Water Scheme (AFA) MIS 192461, 209101	43 751 639.00
Ngcebo Community Water Supply	50 489 340.80

Hlimbithwa 2 water Scheme (AFA) MIS 160381	13 233 615.00
San Souchi - Bulwer Farm Water Extension (AFA - 195607)	34 488 365.00
Macambini Water Supply Phase 2 (AFA)	101 076 781.00
Hlimbithwa 1 Water Supply Scheme (AFA1) MIS 171646	12 428 025.00
Ozwathini - Gcwensa / Nodwengu Water	31 078 364.00
Ndulinde Water Supply Scheme	116 579 664.00
Ngcebo - KwaDukuza Water Supply Scheme (AFA) MIS 216553	326 474 169.00
Mthombisa Water Supply Project	4 468 754.00
Inyoni Housing Development Bulk Sewer Project	9 367 407.00
Inyoni Housing Development Bulk Water Project	49 249 816.00
Mandeni LM Sanitation Master Business Plan	82 657 141.00
Ndwedwe LM Sanitation Master Business Plan	146 996 597.00
Balcom KwaSizabantu Regional Water Supply Scheme	143 973 488.00
Maphumulo LM Area Business Plan	204 074 386.00
Swayimane, Mslili, Ndaka and Hoqweni Community Water Supply Scheme - DC 29	39 159 125.00
Groutville "D" Bulk Sanitation	60 000 000.00
ILembe Regional Sports and Recreation Complex	60 009 069.03

2.5.5 Maphumulo Local Municipality

The Municipality has been allocated the sum of R 65, 608 million over the 3 year MTEF period for the Municipal Infrastructure Grant (MIG). The municipality has sufficient approved projects for full commitment over the MTEF period. This fund was granted by the National Department of COGTA which tackles an array of service delivery backlogs that are determined by the MIG Formula. As at end of May 2015; the municipality has currently expended 58.05% of its allocation R 21 070 million for the 2014/15 financial year. Below is a list projects currently under construction by the Ndwedwe Municipality:

PROJECT NAME	APPROVED MIG FUNDING
Ntunjambili Roads	15 705 900.01
Construction of Fundani Gravel Road	7 602 167.04
Mbusweni Road	6 055 006.26
Maphumulo Taxi Rank	8 689 723.42
Samungu Road	8 844 879.73
Phase 2 - Construction of Sidewalks	1 234 620.00
Mambulu Road	8 440 742.40
Emnyameni Sports Complex	15 988 498.86
Tunnel Farming	6 982 199.06
Phase 2, 3, and 4 - Khatha Road	21 465 422.37
Ward 3 Multipurpose Community Hall/Creche	3 560 904.00
Ward 4 Community Hall/Creche	3 560 904.00
Ward 06 Community Hall/Creche	3 560 904.00
Ward 05 Community Hall/Creche	3 560 904.00

2.6 MASSIFICATION

- A total of R 9 931million was paid over to the District Municipality in 2014/15.
- R 19 million was transferred to Ndwedwe LM in 2014/15 and is 100% expended;
- R 3, million was transferred in 2014/15 to Maphumulo LM, and is 0% expenditure as at end of May 2015.

TABLE 9: ILEMBE DM MASSIFICATION PROJECTS

PROJECT NAME	IMPLEMENTING AGENT	PROJECT CATEGORY	MASSIFICATION FUNDS	TOTAL EXPENDITURE	BALANCE LEFT TO SPEND ON PROJECT	EXPENDITURE
Mandeni WCDM	Ilembe District Municipality	Water	R 9 931 000.00	R 2 796 739.54	R 7 134 260.46	28.16%
Malovana/Khethokuhle	Maphumulo Municipality	Electricity	R 3 000 000.00	R 0.00	R 3 000 000.00	0.00%

TABLE 11: ACCESS TO SERVICES IN MAPHUMULO LM

MN	WardNo	Population	Households	% Electricity used for Light	% Flush Toilets (Sewerage)	% Water Piped In Dwelling	% Water Source = Water Scheme
Maphumulo	1	8499	1850	65.24%	2.27%	4.65%	20.16%
Maphumulo	10	9815	2122	69.46%	3.96%	13.71%	17.53%
Maphumulo	11	6803	1363	16.80%	1.91%	2.35%	1.83%
Maphumulo	2	9806	1895	3.17%	0.05%	0.79%	50.24%
Maphumulo	3	8375	1668	23.20%	0.12%	1.50%	10.43%
Maphumulo	4	10173	2171	45.09%	0.92%	7.74%	16.44%
Maphumulo	5	10586	2036	7.71%	0.44%	4.72%	25.64%
Maphumulo	6	7525	1677	19.32%	11.57%	19.32%	18.84%
Maphumulo	7	7977	1581	14.93%	0.38%	1.08%	41.18%
Maphumulo	8	9232	1981	36.70%	1.26%	5.81%	21.15%
Maphumulo	9	7933	1628	58.85%	1.41%	5.41%	9.95%

DISASTER MANAGEMENT

ILembe District Municipality has a functional District Disaster Management Centre (DDMC) which is established in terms of Disaster Management Act, however the District does not have Firefighting capacity. The District Disaster Management Centre building for iLembe was completed in 2015 and is situated at No 12 Haysom Road – KwaDukuza. It was officially launched by the Honourable MEC Nomusa Dube Ncube on the 6th of August 2015. Mr. Sifiso Ngubane is appointed as the Head of the Centre. Currently three (03) permanent disaster management personnel are supporting operations of the Centre. In terms of the current District Disaster Management Organogram, the following positions will be filled at the beginning of 2017/18 financial year:

- One (1) Senior Disaster Management Officer (Operational);
- Two (2) Disaster Management Officers; and
- One (1) Administrative Assistant

The family of four local municipalities i.e. Mandeni, KwaDukuza, Ndwedwe and Maphumulo have also established disaster management units though there is still a challenge with staff capacity. To date, KwaDukuza has employed two (02) permanent personnel and one (01) temporary intern. Ndwedwe local municipality have employed three (03) permanent personnel while Mandeni and Maphumulo local municipalities have employed one (01) permanent person to solely deal with disaster management issues.

DISASTER MANAGEMENT ADVISORY FORUMS

ILembe District Municipality has established a Disaster Management Advisory Forum and meets on quarterly basis or as and when necessary. The DDMAF is functional, with a reasonable attendance by relevant stakeholders. All the recommendations and deliberations get submitted to the Economic Development and Planning, Environmental Health and Safety Portfolio Committee, which is an internal portfolio committee that deals with matters relating to Disaster Risk Management in the District. All four local municipalities have established their Disaster Management Advisory Forums that also sit on a quarterly basis.

DISTRICT DISASTER RISK MANAGEMENT PRACTITIONERS FORUM

ILembe District Municipality Disaster Risk Management Practitioners forum has been established and comprises of all four (04) Local Municipalities. The meetings are held on monthly basis with the main objective of sharing experiences and best practices as well as to ensure that there is a uniform and integrated approach on disaster management as envisaged by disaster management legislation and policy frameworks. Disaster Risk Management Practitioners forum meetings are held during the first week of every month and are also utilized as a platform to discuss disaster management operations and to finalize all the monthly reports for submission to internal stakeholders , and to the Provincial Disaster Management Centre (PDMC). The Practitioners forum meetings are also utilized to capacitate all District Practitioners on Disaster Management Targets as set out in the Municipal SDBIP.

DISASTER MANAGEMENT PLANS

iLembe District Municipality recently completed the process to review their Disaster Management Frameworks and Disaster Management Plans that were developed in 2008 and 2009, respectively. The current review (during 2016/17 financial year) also took into consideration the requirements on the recent Disaster Management Amendment Act No 16 of 2015. The Disaster Management Plan and Framework have been presented during a recent Council Workshop, and will be adopted during the 2016/17 financial year. The review of iLembe District Disaster Management Plan and Framework has been a consultative process that included the family of local municipalities and other disaster management stakeholders.

DISASTER RISK ASSESSMENTS

Comprehensive Ward Based Risk Assessment Process have been conducted in partnership and in consultation with all local municipalities and stakeholders. Ward Based Risk Assessments for specific known hazards undertaken have been documented. The District has conducted Risk Analysis in all four local municipalities reflecting all hazards threatening the communities in all Wards. Based on this exercise, the district is aware of all prioritized hazards and risks as they have been observed and recorded continuously. Priority risks have been identified in all four local municipalities. All local municipalities have been assisted to develop their respective Disaster Management Sector Plans to be annexures in their municipal IDP's.

DISASTER RISK REDUCTION MEASURES

iLembe District Municipality have developed the Disaster Risk Reduction (DRR) programmes to deal with identified disaster risks. Below are some of the DRR strategies

- Integrated Development And Service Delivery,
- Community Awareness Campaigns (budgeted for on an annual basis);
- Capacity Building Programmes(budgeted for on an annual basis);
- Installation Of Lightning Conductors(budgeted for on an annual basis);

The projects that were developed are categorised according to the hazards identified during the risk assessment process.

INCORPORATION OF DISASTER MANAGEMENT PLAN INTO IDP

On an annual basis, iLembe District Municipality develops and submits the disaster management sector plan with tangible and implementable Disaster Risk Reduction (DRR) projects that are funded through municipal IDP processes. The District clearly indicates the budgeted projects for Disaster Risk Reduction in the sector plan which form an integral part of the municipality's IDP and the budget provisions for disaster management, includes:

- Social Relief (Blankets, Food Parcels and Plastic sheeting)
- Social Relief (Temporary Shelter)
- Community Awareness Campaigns

- Capacity Building Programmes
- Review Of The Disaster Management Plan
- Installation of lightning conductors

FIRE AND RESCUE SERVICES

The District has not yet established Fire Brigade Services. Throughout the entire district, only KwaDukuza and Mandeni Local Municipalities have established mechanisms for firefighting capacity. KwaDukuza is performing the firefighting function in house with two Fire Stations that are located in Ballito and Stanger. Mandeni have outsourced the service to a Service Provider for a period of three years, where there is an expectation of skills transfer once the contract has expired. One of the challenges is that there is no firefighting capacity at Ndwedwe and Maphumulo Local Municipalities.

As a long term plan, and as part of iLembe District 5 year IDP, the District has plans to establish a firefighting service to ensure that there is adequate firefighting capacity even at Ndwedwe and Maphumulo Local Municipalities by 2022.

The following table highlights the status of fire services capacity at Mandeni and KwaDukuza local municipalities:

MUNICIPALITY	FIRE VEHICLES	FIRE PERSONNEL
Mandeni	<ul style="list-style-type: none"> • 1 x Fire Engine (with 4000 L Water Capacity Foam Capacity) • 4x4 Skid Unit (Water Capacity 600L) 	<ul style="list-style-type: none"> • 15 x Fire Fighters • 1 x Chief Fire Officer
KwaDukuza	<ul style="list-style-type: none"> • 4 x Rescue Pumps • 1 Skid Unit • 5 Utility Vehicles • 2 Water Tankers 	<ul style="list-style-type: none"> • 1x Chief Fire Officer • 1x Division Officer • 2x Station Officers • 15 x Leading Fire Fighters • 3x Senior Fire Fighters • 36 x Fire Fighters • 1 x Admin Clerk • 2x General Assistants

OVERALL STATUS OF DISASTER MANAGEMENT & FIRE SERVICES

Municipality	Disaster Management Unit Established	Fully Functional	Forums Established	Disaster Management Plans	Disaster Management Framework	Status of Municipal Fire Services	No. of Staff
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Ilembe	😊	😊	😊	😊	😊	😞	D-4 F- 0
KwaDukuza	😊	😊	😊	😊	😞	😊	D- 3 F- 61
Mandeni	😊	😞	😊	😊	😞	😞	D-1 F- 16 P
Maphumulo	😊	😞	😊	😊	😞	😞	D-1 F- 0
Ndwedwe	😊	😞	😊	😊	😞	😞	D-3 F- 0
D - Disaster Management Official F – Fire-fighting Official P - Service Provider				😊 In Place 😞 Work in Progress 😞 Not Achieved			

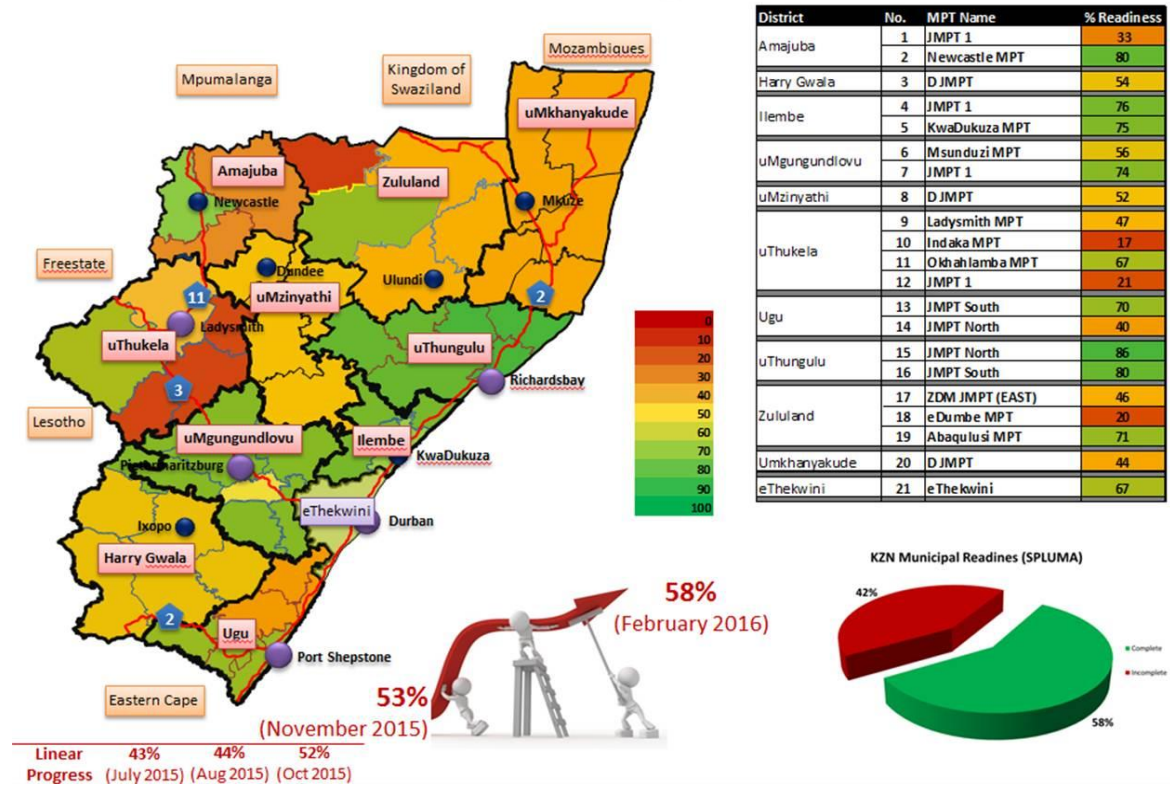
- Section 52 / 53 of the DMC Act – Have you conducted comprehensive disaster risk assessment in your area of jurisdiction? have you prepared a disaster management plan for your municipality? Do you have disaster risk reduction measures in place, in line with the identified risks? Is the plan incorporated into the IDP and budgeted for? Yes

MUNICIPAL PLANNING

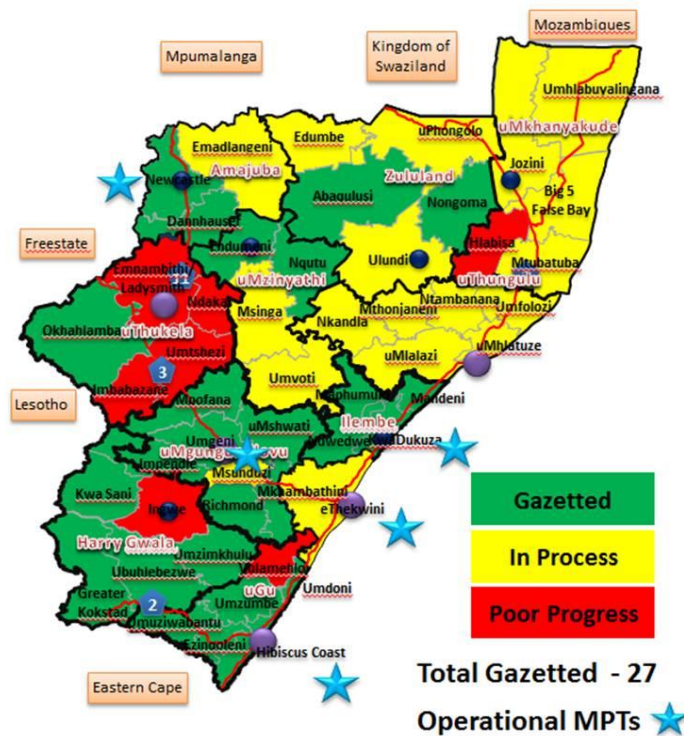
IDP CO-ORDINATION

- 2016/17 IDP credibility score: 61.17%
- Status of District Growth & Development Plan:
 - The district is finalizing its monitoring and evaluation plan. There are plans to also review the current District Growth and Development Plan.
 - Review process started on 18 April as part of the OTP lead PDGP/DGDP/IDPs alignment session.
- IDP Co-ordination and/ municipal strategic planning challenges:
 - IDP Manager post is vacant and therefore relies on DPSS Town Planner to perform IDP duties. IDP Manager vacancy needs to be filled.
 - The department / IDP Coordination Unit has never been invited since 2015 November to any IDP related meetings and it can assumed that they do not take place.
 - The municipality has finally invited the department to the first IDP Representative Forum in 2017. It was held on 09 March at Maphumulo Town Hall (New).

KZN Progress



By-Laws



DMs	LMs
Amajuba	Dannhauser
	Newcastle
Harry Gwala	Greater Kokstad
	KwaSani
	Ubuhlebezwe
	uMzikhulu
iLembe	KwaDukuza
	Mandeni
	Maphumulo
	Ndwedwe
Ugu	Ezingoleni
	Hibiscus Coast
	Umdoni
	Umwabantu
	Umkhumbini
uMgungundlovu	Mpofana
	Richmond
	uMngeni
	uMshwati
uMzinyathi	Endumeni
	Nquthu
uThukela	Okhahlamba
	AbaQulusi
Zululand	Nongoma

SPATIAL PLANNING

Spatial Planning Capacity

There are currently no registered Town and Regional Planners employed by the municipality. The municipality is assisted by a Registered Professional Town and Regional Planner as part of the iLembe District Development Planning Shared Service. The absence of registered Town and Regional Planners at the direct employ of the municipality means that current capacity is largely limited.

Status of Spatial Development Frameworks

Date of last review: May 2014

Spatial/ SDF Vision: "To always strive for spatially sustainable development"

Outcomes of Assessment: The 2017/18 SDF Assessment, it was noted that the submitted SDF is not fully SPLUMA compliant, noting the specific content requirements of SPLUMA as well as its development principles (and its application thereof). However it must be also noted that the municipality has embarked on a SDF review process (of which this department forms part of the PSC) with the main if SPLUMA Compliance and the updating of information/data/stats. Noting the vintage of the SDF, alignment and application to PGDS/P 2016 and PSEDs 2017 (latest provincial policy) is not forthcoming. These documents present a fresh/new/refined economic and development directives/linkages/value-chains that can be used to help improve/build upon the LED and Job Creation situation within the LM and DM as a whole.

The SDF acknowledges the IDP's vision and formulates an SDF vision that aligns with the IDP. However; the SDF does not apply a time-line/horizon to its vision. SPLUMA requires municipal SDFs to include a longer term spatial development vision statement for the municipal area which indicates a desired spatial growth and development pattern for the next 10 to 20 years. An accompanying 5 year Implementation Plan is advised to be crafted as a conclusion of the SDF.

The municipality is recommended to include a three year MTEF / capital expenditure framework for the municipality's development programme and depict spatially. Given the significant rural and traditional council area component to the municipality, it is suggested that any SDF review will have to provide a comprehensive approach to rural development in a manner that unleashes agricultural potential of the municipality whilst fulfilling key SPLUMA development principles such as Spatial Justice, Sustainability, Resilience and Efficiency.

Status of schemes within Local Municipalities

LOCAL MUNICIPALITY/ ENTITY	MUNICIPAL AREA (km ²)	SCHEME NAME/ AREA COVERED BY SCHEME	ESTIMATED SCHEME COVERAGE (km ²)	DATE OF ADOPTION	LATEST DATE OF SCHEME REVIEW	PROGRESS TOWARDS WALL TO WALL SCHEME
Maphumulo LM	894	Maphumulo Town	1.40	22 January 2013	Nil	Urban Land Use Scheme adopted over Maphumulo Town. Rural Land Use Process underway. Estimated completion June 2018
TOTAL	894		1.40			

Spatial Planning Challenges

- The Absence of a revenue base and the resultant grant dependency.
- The Municipal area has topographic challenges resulting in high cost of development.
- The Absence of a waste management facility to cater for both current and future development needs results in limited water supply.

DEVELOPMENT INFORMATION SERVICES

- **GIS capacity**

There is a GIS capacity at Maphumulo local municipality. The DPSS GIS Data Administrator at Ndwedwe local municipality, Ms Vuyo Nguza, is also providing GIS support services to municipal officials at Maphumulo local municipality. The support ranges from SDF compilation, IDP preparations, verification and mapping of municipal assets, SPLUMA, general mapping, etc. Additional GIS services are also rendered to them by Mr. Munien at ILembe District municipality. Ms Vuyo Nguza regularly attends Provincial municipal GIS forum meetings which are convened by Cogta DIS.

- **Status of GIS**

The GIS unit at Ndwedwe municipality is functional and providing GIS services to Maphumulo local municipality as well through a Development Planning Shared Service program. The Unit has the

necessary hardware, software, data and operational policies to provide GIS services to municipal officials and other stakeholders.

- **GIS challenges**

Cogta is unaware of any GIS operational challenges.

LAND USE MANAGEMENT

- **Implementation of legislation (Tools and structures)**

The Department of Rural Development and Land Reform (DRDLR), which is an implementing department has drafted generic planning by-laws for municipalities. Municipalities may customize these by-laws to suite their requirements. DRDLR and Department of Cooperative Governance and Traditional Affairs (COGTA), South African Local Government Association (SALGA) agreed to introduce interim arrangements to ensure that planning applications are processed while municipalities are busy with the adoption and gazetting of by-laws. Hence, this Province is utilizing the KZN Planning and Development Act, 2008 (KZNPDA) application process (Schedule 1) together with Spatial Planning and Land Use Management Act (SPLUMA), Act No. 16 of 2013 institutional structures (Municipal Planning Tribunal, appointment of an Authorized Officer and the use of either EXCO or an external body as its Appeal Authority).

In their contact sessions with municipalities DRDLR, COGTA and SALGA have ensured that each municipality understands transitional arrangements and SPLUMA requirements to form new decision making bodies and the need to categorize land development applications, and delegate certain applications to appropriately planning qualified staff to approve in terms of delegated authorities.

Whilst engaging officials, the roles and responsibilities of the role players have been clearly defined in terms of delegations and this has been in accordance with the nature and type of applications as per municipal generic by-laws since some applications will be dealt with by the municipal councils whilst some by the Authorized Officers (AO) and Municipal Planning Tribunals (MPTs).

The majority of municipalities within the KZN Province have opted for an Executive Committee (EXCO) as an appeal authority.

Maphumulo Municipality is part of a joint MPT consisting of Ndwedwe LM and Mandeni LM which has not been gazetted.

Maphumulo Municipality has adopted and gazetted its by-law.

- **Land development applications (compliance with legislated time norms)**

The municipality has processed no applications in terms of the by-laws during the 3rd Quarter (01 October 2017 to 31 December 2017).

- **Land development challenges-**

- Municipality is not Spatial Planning and Land Use (SPLUMA) compliant at present.
- Staff capacity is an issue as the Municipality has a shared services planner who is based at the District.

Local Economic Development

MAPHUMULO MUNICIPALITY				
1. Municipal LED Unit existence	Yes X	No	Number of personnel employed at the municipal LED Unit Only 2 LED personnel	
2. Total % of municipal budget allocation to LED			R500-000	
3. LED strategy aligned to NDP, PGDP & Poverty Eradication			Yes	Adopted LED Strategy Tourism Strategy
4. Municipal EPWP phase 3 policy in place			Yes √	Phase 3 policy in place
5. Informal Economy Strategy/Plan in place			Yes	In a process of gazetting informal traders
6. Is there clear Strategy/Plan on engagement of LED stakeholders to ensure its functionality			No	In a process of establishing LED forum
7. LED forum in place and functional			No	In a process of establishing LED forum
8. Challenges facing the implementation of LED in this municipality			1. Capacity shortages as there are only two people employed do undertake LED. 2. Lack of funding/budget however there are many initiatives and projects to undertake.	

PILLAR THREE: GOOD GOVERNANCE

Status of IGR

The following Intergovernmental Relations structures have been established within the Ilembe District and its family of municipalities:

1. Mayors Forum
2. Municipal Managers Forum
3. District Area Finance Forum
4. Corporate Services Forum
5. Planning & Development Forum
6. Infrastructure Forum
7. Communications Forum
8. OSS District Task Team

However, post the assessment conducted by Cogta, (Intergovernmental Relations Directorate) in July 2017, the following forums has been identified as functional:

1. District Mayors Forum
2. Municipal Managers Forum
3. Corporate Services Forum
4. Planning & Development Forum
5. Communications Forum
6. OSS District Task Team

However the Infrastructure Forum is not functional and have not met since March 2017.

FREQUENCY OF MEETINGS

Of the functional for a, the District Mayors Forum, District Area Finance Forum and Corporate Services and OSS District Task Team structures convene on a quarterly basis. The remaining functional fora meet on a monthly basis. The assessment verified that the District Speakers Forum, Internal Auditors Forum, DGITOC Forum, Risk Management Forum, PMS Forum Disaster Management Forum meet on a quarterly basis and that the LED Forum meet Bi-monthly.

AGENDA SETTING

Through the assessment, it was reported that the Local Municipalities are consulted in submitting items for the Agenda of the For a. Reports from the Sub Technical For a are included as well as, Resolutions taken at MuniMec & Technical MuniMec, the implementation of the Radical Economic Transformation Programme, Back to Basics Programme, Functionality of the IGR Structures, strategic Pronouncements from the State of the National Address, State of the Province Address and Budget Speech, the Implementation and monitoring of the EPWP Programme, Resolutions from Premiers Coordinating Forum, Implementation of the Cabinet Lekgotla Resolutions and Sakuma Sakhe

Programme and the Participation of Traditional Leaders in IGR structures are incorporated onto the Agenda. However the items on Cabinet Lekgotla Resolutions, Premiers Coordinating Forum Resolutions and Cogta Technical MuniMec and MuniMec Resolutions can be improved.

RESOLUTION REGISTER / DECISION MATRIX

The Assessment established that a Resolution Register has not yet been developed and implemented at the For a to track the decisions/resolutions taken at the meetings. However, it was confirmed that only the minutes of the meetings are circulated to all for a within the District. Further matters unresolved at Municipal Managers Forum and District Mayors Forums have not yet being escalated to Technical MuniMec and MuniMec respectively.

INTEGRATED CALENDAR

Through the assessment it was established that there is an adopted IGR Calendar for the District. Local Municipalities are consulted when the IGR Calendar for the District was drafted and there is a district wide comprehensive IGR Calendar.

GENERAL

Through the assessment it was established that IGR is not considered as a core function. Further it was confirmed that all IGR For a meetings are given a minimum of 7 day notice prior to convening and the documents for the meeting is circulated well in advance to prepare adequately for the meeting. There is a dedicated IGR official at the District Municipality located within Corporate Services. Further all Sector Departments are invited to attend IGR For a in the District. The Municipal Managers Forum was held on the 07 September and the Mayors Forum was held on the 07 September 2017. **Council meetings held**

16

Functionality of Councils

No reported challenges

Municipal investigations and anti-corruption

PREVENTION

Anti-corruption program:

- (a) Before the 1st of April CoGTA: Municipal Investigations developed a standard assessment tool to check compliance with anti-corruption measures in all 54 municipalities. On 18 April 2017 a circular with the anti-corruption assessment questionnaire was disseminated to all municipalities for their response. All responses were analysed and each given a score based on their level of compliance with anti-corruption measures.
- (b) For the first quarter 14 Municipalities with low and unsatisfactory scores were invited to a meeting held on 12 June 2017 to discuss the support required by them from CoGTA in order to assist them to review their strategy and to provide those who did not have a strategy in place with the Cogta's standard municipal anti-fraud and anti-corruption strategy for adaption and adoption by their respective Councils.

Fraud risk Management:

On the 12th of June 2017 a meeting was called with 14 municipalities which did not obtain satisfactory scores on the assessed questionnaire, one of reasons for the meeting was to assist them to update their fraud risk registers and to enquire if their municipalities had an adopted anti-fraud and anti-corruption strategy or policy. The Department plans to target 14 municipalities per quarter.

The questionnaire was disseminated to Maphumulo municipality; however the municipality did not complete and send it back to the department despite numerous attempts from CoGTA to get them to complete the questionnaire. The municipality will be visited to establish support required

INVESTIGATIONS

- a) No investigations are underway.

TRADITIONAL AFFAIRS

Traditional leaders participation in Council structures

- John Mbekeni Ntuli
- Nkosikhona Andries Bhengu
- Siphamandla Artwell Hlongwa
- Bhekabantu Christopher Khuzwayo

PILLAR FOUR: SOUND FINANCIAL MANAGEMENT

1. EXECUTIVE SUMMARY

Audit Overview

Maphumulo Municipality received an unqualified with other matters audit opinion for the past 5 financial years. The number of audit findings has increased from 10 in the previous financial year to 12 in the current financial year.

Financial Health Overview

An analysis of the key financial ratios and trends indicates that the municipality is experiencing severe cash flow problems. The municipality is largely rural and has a very limited revenue base together with poor collection levels.

Status of Posts

The post of the Municipal Manager is vacant. The Manager – Community services is the Acting Municipal Manager. The post of the chief financial officer is vacant with an acting CFO appointed. The budget and treasury office is constrained due to vacancies of the CFO, SCM manager and finance manager.

Financial Compliance

The municipality has complied with 100% (6 out of 6 compliance matters) of the financial compliance matters tested during the quarterly assessment undertaken.

2. SCOPE OF ASSESSMENT

The scope of the assessment is limited to the first quarter of the 2017/2018 municipal financial year which includes the period 01 July 2017 to 30 September 2017. The assessment focused on seven key areas in respect of which this report will highlight findings, challenges and recommendations as follows:

- Audit report status limited to financial matters only;
- Revenue and debtors management;
- Expenditure and creditors management;
- Liquidity issues;
- Asset management;
- Financial Compliance – These matters are only tested for the relevant three months during the quarter under review.; and
- Financial governance - These matters are only tested for the relevant three months during the quarter under review.

Limitation of scope – information not provided

- A full status report was requested regarding all financial reconciliations. To date this has not been provided by the municipality. Debtors, petty cash reconciliations were not done. Provincial Treasury is on site implementing a reconciliation Toolkit.
- Information relating to the organisational structure of the budget and treasury office.
- Deviation report not provided
- Information relating to financial consultants

3. AUDIT REPORT STATUS

Table 1 below reflects the status of the 2015/2016 audit issues:

Details	2015/2016	Financial Period	Number of audit report queries resolved	% report queries resolved
Total Number of audit report issues for the current year	12	Q1	No information provided	No information provided
Total Number of audit report issues in previous financial year	10	Q2		
Total number of recurring audit report issues	2	Q3		
% recurring issues	17%	Q4		

12 audit issues were raised in the audit report, of which 3 were emphasis of matter items, 2 were related to Pre-determined objectives, 5 were related to compliance matters and 2 was related to internal controls. Details of the above are as follows:

Emphasis of Matter

- Significant uncertainties were raised relating to lawsuits with former employees
- Significant uncertainties were raised relating to professional services provided where service level agreements were not entered into.
- There is a material uncertainty on the ability of the municipality to operate as a going concern as its current asset exceeds its current liabilities.

Predetermined Objectives

- Adequate and reliable corroborating evidence could not be provided for 23% of the targets to assess reliability of reported information.
- Achievement of planned targets may be inaccurate in the annual performance report due to the finding on reliability of information.

Compliance Matters

- The performance management system and related controls were inadequate as there were no standard operating procedures in place as required by s38 of the MSA and regulation 7.
- Material misstatements were identified in the annual financial statements relating to long service awards, contingent liabilities, commitments and financial instruments. S122 of the MFMA
- SCM Regulation 13(c) – lack of evidence that awards were made to bidders who submitted declarations on whether they are employed by the state or connected to any person employed by the state.
- S116 (3) MFMA – Contracts were awarded without tabling reasons for amendments in Council.
- S6291)(d) MFMA – Reasonable steps were not taken to prevent irregular expenditure.

Internal Controls

- The slow response by the accounting officer has prevented the municipality from addressing issues of compliance with legislation.
- The accounting officer did not submit quality financial statements and annual performance report for audit as review processes were not undertaken in terms of year end reporting processes. The SCM unit was not skilled on procurement

management to ensure that approved policies and procedures were uniformly applied.

Recurring Audit Queries

17% (2 of 12 issues) recurred in the current year relating to expenditure management and leadership response to addressing compliance matters. The municipality did not adequately address these matters in previous years and was advised to revise the audit action plan to ensure that these matters are adequately addressed. Municipal finance will review and monitor the amended plan.

Audit Action Plan

The latest progress on the audit action plan has not been provided by the municipality.

4. FINANCIAL MANAGEMENT AND PERFORMANCE

4.1 REVENUE AND DEBTORS MANAGEMENT

Details	Property Rates
Budgeted Revenue	2 665 000
Billed Revenue	3 364 227
Actual Collection	Not provided
Actual vs Budget	699 227

The municipality has budgeted a total of R2.66 million for property rates for the period ended 30 September 2017 and billed R3.36 million which has resulted in a difference of R699 227. Although revenue collection information has not been provided it is known that the municipality has under recovered on collection versus billings. Furthermore the section 71 report information on table C7 does not reflect the cash inflow on assessment rates.

Table 3 below reflects the status of Revenue and Debt Management and debtors by category:

Details	Yes/No	Financial Period	Organs of State	Commercial	Households	Other	Total
Revenue raising and	No	Q1	6 009 962	9 357 918	165 640	6 033 997	21 567 517
		Q2					

collection strategy						
Debt Management strategy	No	Q3				
		Q4				

Due to challenges experienced with the financial system relating to the upgrade the municipality was unable to provide a breakdown of debtors per category.

The municipality does not have an approved Revenue raising and collection strategy which must be developed and implemented. The municipality does not have an approved Debt Management strategy which must be developed and implemented.

Debtors outstanding for more than 120 days amounted to R20 175 582 as at 30 September 2017 amounting to 94% of total debtors.

There are no Councillors or employees in arrears with their accounts.

Reconciliations

The debtor's reconciliations for the three months ended 30 September 2017 were not up to date when compiling this report.

4.2 EXPENDITURE AND CREDITORS MANAGEMENT

Table 4 below reflects the status of expenditure management:

Details	Yes/No	Details	OPEX	CAPEX	MIG
Cost Reduction strategy	Yes	Budget	24 682 250	6 270 954	5 325 250
Procurement Plan 2017/2018	Not received	Actual	19 918 747	10 367 000	6 907 000
		Difference	4 763 503	-4 096 046	-1 581 750
		% of budget spent	80.7%	165%	130%

Cost Reduction Strategy

The municipality has an approved cost reduction strategy which is being implemented.

Procurement Plan

The approved procurement plan for 2017/2018 has not been provided by the municipality.

OPEX

The municipality spent R20 million of its operating budget as at 30 September 2017 comprising 81%.

CAPEX

The municipality spent R10.3 million of its capital budget as at 30 September 2017 comprising 165% of the pro-rata budget. The spending on MIG is at 130%.

Creditors

Creditors are not paid within 30 days. R105 000 is outstanding for more than 30 days. The municipality is experiencing cash flow challenges, however, proper cash flow monitoring must ensure that fruitless and wasteful expenditure is not incurred.

Section 36 Financial Deviations

Table 5 below reflects the section 36 deviations for the period:

Financial Period	Section 36 deviations: Number	Section 36 deviations: Amount	Main Reasons
Q1			None provided
Q2			
Q3			
Q4			
Total			

The municipality did not provide a deviation report.

4.2.1 Section 32 Appointments

Table 6 below reflects the section 32 appointments for the period:

Financial Period	Section 32 appointments: Number	Section 32 appointments: Amount	Validated by Internal Auditor (Yes/No)
Q1			

Q2			
Q3			
Q4			
Total			

No information provided, however, there is a possibility of the use of this section for the implementation of mSCOA.

4.2.3 Unauthorised, Irregular, Fruitless and Wasteful expenditure (UIFW)

Table 7 below reflects UIFW for the period:

Financial Period	Unauthorised Expenditure	Irregular Expenditure	Fruitless and Wasteful Expenditure	Total
Q1				
Q2				
Q3				
Q4				
Total				

The municipality has indicated that there are no instances of UIFW for the period under review.

4.2.2 OTHER EXPENDITURE MANAGEMENT ISSUES

Employee Related Costs

The employee related costs of 36% is within the norm range of between 25% – 40%.

Free Basic Services

The municipality has spent 69% of its allocation of R3 570 000 on free basic services.

4.3 LIQUIDITY

Table 7 below reflects the liquidity position as at 30 September 2017:

Financial Period	Cost Coverage	Current Ratio	Are unspent grants cash-backed
Q1	2.86 months	1.14:1	yes
Q2			
Q3			
Q4			
Total			

The Cost coverage ratio of 2.86 months is satisfactory compared to the norm of 1 to 3 months and the current ratio of 1.14 is just below the norm of 1.5 to 2. Unspent grants are cash-backed as at 30 September 2017.

ASSET MANAGEMENT

Table 8 below reflects the status of asset management for the period:

Details	Yes/No	Financial Period	% R&M of PPE budgeted	% R&M spent versus the budgeted R&M	FAR Reconciliations
Operations and Maintenance Plan	No	Q1	3.35%	25%	Not provided
		Q2			
Procurement Plan	Not provided	Q3			
		Q4			

Repairs and maintenance

The repairs and maintenance budget is below the norm of 8% and needs to be improved in the next budget. The municipality has spent 25% of its repairs and maintenance budget for the period ended 30 September 2017.

The municipality does not have Operation and Maintenance plans in place.

Fixed Asset Register and Reconciliations

The fixed asset register and reconciliations were not provided.

5. FINANCIAL COMPLIANCE

The following compliance matters were reviewed during this assessment:

Compliance Matters	Yes	No
1. Did the accounting officer submit the monthly report on the budget to the mayor, provincial treasury and National Treasury – within 10 working days of start month?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2. Did the Mayor submit quarterly (section 52) report for period ending 30 September on implementation of the budget and financial state of affairs of the municipality to council?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3. Did the municipality place quarterly (section 52) report on budget implementation on the municipal website?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5. Did the municipality report any unauthorised, irregular or fruitless and wasteful expenditure in terms of s32 of the MFMA?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
6. Did the accounting officer of a municipality place on the website documents referred to in section 21A of the Municipal Systems Act?	<input checked="" type="checkbox"/>	<input type="checkbox"/>

FINANCIAL GOVERNANCE

The following compliance matters were reviewed during this assessment:

Governance Matters	Yes	No
1. Is the Municipal Managers post filled?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
2. Is the Chief Financial Officers post filled?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3. Did the audit committee meet this quarter?	<input checked="" type="checkbox"/>	<input type="checkbox"/>

4. Did the audit committee report to council this quarter? (i.t.o Circular 65)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
5. Is the Internal audit unit outsourced?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
6. Does the municipality have a risk based internal audit plan?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7. Did the Municipal Public Accounts Committee meet this quarter?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
8. Is the Municipal Public Accounts Committee investigating matters relating to UIFW and providing recommendations to Council including recommending disciplinary processes?	<input checked="" type="checkbox"/>	<input type="checkbox"/>

6. CONCLUSION AND RECOMMENDATIONS

6.1 CONCLUSION

The main areas that requires improvement is to address the filling of critical posts and ensure that all financial reconciliations are performed and reviewed monthly.

6.2 RECOMMENDATIONS

6.2.1 The 2014/2015 audit action plan status must be provided to CoGTA.

6.2.2 The municipality must implement controls over the reporting of financial information to Council in terms of the section 52(d) report and the monthly section 71 report in terms of the MFMA. There must be a proper review to ensure completeness and accuracy of reported information.

6.2.3 The municipality must develop and implement operations and maintenance plans.

PILLAR FIVE: BUILDING CAPABILITIES

MANAGEMENT CAPACITY

Status of Senior Manager posts (Filled and vacant posts)

SUMMARY		TOTAL POSTS: 27	TOTAL VACANCIES: 4	% VACANT: 15%
MUNICIPALITY	NUMBER OF POSTS FILLED	FILLED POSTS	VACANT POSTS	STATUS OF VACANT POSTS AND RELATED MATTERS
Maphumulo	4 of 5	MM Director: Economic Development & Planning Director: Corporate Services CFO	Director: Technical Services	Post will be re-advertised as no suitable candidate was found.

MUNICIPAL PERFORMANCE PROFILE

The objective of the Back to Basics Programme, which was officially launched at the Presidential Summit on 18 September 2014, is to ensure a focused and strengthened local government by getting the basics right and together with other spheres, provide basic services efficiently and effectively and in a caring manner.

The Back to Basics Programme was officially launched in KwaZulu-Natal on 17 February, 2015. The monitoring of municipal service provision efficiency and effectiveness is a pivotal aspect of the implementation of the Back to Basics Programme, and as such, the Department has implemented a quarterly assessment and monitoring process, facilitated through the completion of a Quarterly Provincial Back to Basics Template.

An initial assessment for 26 municipalities, categorized as *Challenged* or *Requiring Intervention* was concluded during December, 2014. Following this, an assessment of all 61 municipalities had been facilitated and concluded during assessment visits conducted from 08 February to 12 February 2016 for Quarter 2 2015/16 Financial year. These templates were analyzed and subsequent scoring of municipalities was done in line with the 5 pillars and the main functions and mandates of municipalities.

Circular 10 of 2016 and the revised Provincial template were submitted to all Municipalities within KZN informing them of the assessment visits for the 1st quarter of the 2016/2017 financial year. The assessment visits were conducted for all 54 Municipalities. During the assessment visits, Municipalities were presented with their 1st quarter assessment and gap analysis, and subsequently given an opportunity to complete all

outstanding information, and/or provide the required additional information for assessment purposes. Municipalities were also assisted in understanding the revised requirements of the single reporting system. Information provided by all 54 Municipalities was verified and/or confirmed by the business units prior to the assessment visits.

Circular 14 of 2016 and the revised Provincial template were submitted to all Municipalities within KZN informing them of the assessment visits for the 2nd quarter of the 2016/2017 financial year. The assessment visits were conducted for all 54 Municipalities. During the assessment visits, Municipalities were presented with their 2nd quarter assessment and gap analysis, and subsequently given an opportunity to complete all outstanding information, and/or provide the required additional information for assessment purposes. Municipalities were also assisted in understanding the revised requirements of the single reporting system. Information provided by all 54 Municipalities was verified and/or confirmed by the business units prior to the assessment visits.

Circular 3 of 2017 and the revised Provincial template were submitted to all Municipalities within KZN informing them of the assessment visits for the 3rd quarter of the 2016/2017 financial year. The assessment visits were conducted for all 54 Municipalities. During the assessment visits, Municipalities were presented with their 2nd quarter assessment and gap analysis, and subsequently given an opportunity to complete all outstanding information, and/or provide the required additional information for assessment purposes. Municipalities were also assisted in understanding the revised requirements of the single reporting system. Information provided by all 54 Municipalities was verified and/or confirmed by the business units prior to the assessment visits.

Assessment visits for Quarter 4 of the Municipality's 2016/2017 financial year were conducted in August/September 2017 following Circular 5 of 2017 and the Provincial template that were sent to all Municipalities within KZN informing them of the Quarter 3 B2B Assessments. The assessments were conducted for all 54 Municipalities. During the assessment visits, Municipalities were presented with their 4th quarter assessments and gap analysis, and subsequently given an opportunity to complete all outstanding information, and/or provide the required additional information for assessment purposes.

The Maphumulo LM was categorized as "Challenged" as part of this process. The assessment for the Maphumulo LM concluded the following scoring:

BACK TO BASICS PILLAR	2016/2017 Q1 SCORE	2016/2017 Q2 SCORE	2016/2017 Q3 SCORE	2016/2017 Q4 SCORE
Putting People First	0.00%	0.00%	62.50%	25.00%
Delivering Basic Services	16.67%	14.29%	50.00%	16.67%
Good Governance	50.00%	66.67%	75.00%	83.33%
Sound Financial Management	50.00%	50.00%	52.17%	65.22%
Building Capable Local Government Institutions	25.00%	12.50%	62.50%	37.50%
TOTALS	38.46%	38.89%	58.73%	50.79%

A support plan to address the key challenges, as identified for the Maphumulo LM, was prepared and is being implemented.

STATUS OF MUNICIPAL ORGANISATIONAL PERFORMANCE MANAGEMENT

An assessment was conducted of the status of performance management in all 54 municipalities through a PMS Status update which is collected at the Districts PMS Forum.

The status of performance management for the Maphumulo LM is as follows:

PMS Aspect:	Status:
a. Progress on addressing Auditor General Queries for the 2015/2016 financial year	For 2015/2016 there were 2 findings relating to PMS. One finding related to reliability of POE relating to waste management. The other related to incompleteness of SDBIP as it omitted information relating to 2014/2015 projects that were not completed. Both these queries have been addressed. Based on internal audit reports on PMS, we have had no findings relating to inadequate POEs. We also included rolled-over projects in SDBIP 2016/2017
b. 2016/2017 Quarter 4 Performance Assessments/Evaluation of Section 54/56 Managers	Not done. We have no S 54/ 56 managers employed during 2016/2017. Two directors joined the municipality in 1 September 2017
c. Submission of 2016/2017 Annual Performance Report to: 1. Internal Audit 2. Performance Audit 3. Council 4. Auditor General	1. Yes 14/08/2017 2. Yes 26/08/2017 3. Yes 30/08/2017 4. Yes 31/08/2017
d. Has Internal Audit prepared and submitted a report on the review/audit of the 2016/2017 Annual Performance Report to the Performance Audit Committee	Yes and POE submitted to COGTA
e. Adoption of 2017/2018 Organizational Scorecards and SDBIP's	Yes 27/06/2017
f. Submission of 2017/2018 Organizational Scorecard and SDBIP to Internal Audit (Please provide the date)	Yes 19/06/2017
g. Has Internal Audit prepared a report on the review/audit of the 2017/2018 Organizational Scorecard and SDBIP?	Yes
h. Publication of approved 2017/2018 SDBIP	Yes
i. 2017/2018 Performance Agreements of Section 54/56 Managers: 1. Prepared and signed by Section 54/56 Managers 2. Made public - MFMA S 53 (3) (b) 3. Submission to MEC within 14 days	1. No, no S54/56, only appointed 01/09/2017 2. Not applicable as yet 3. Not applicable as yet
j. OPMS Challenges	Non submission of POE's Late submission of information by HOD's

CAPACITY BUILDING

Capacity Building Strategy

The Business Unit developed a Provincial Capacity Building Strategy in conjunction with other relevant stakeholders, namely municipalities, sector departments, LGSETA and SALGA during the 2014/2015 financial year. The Capacity Building Strategy seeks to coordinate all capacity building programmes targeting municipalities. To this effect, a Provincial Capacity Coordinating Committee was established comprising of all stakeholders involved in developing capacity of municipalities. Each stakeholder provides information on the capacity building initiatives it will be implementing. The Business Unit has developed a comprehensive

provincial capacity building database based on inputs received from all stakeholders. The database indicates the kind of support each municipality will be receiving during the financial year.

The capacity building database was shared with each district municipality to share with its locals on the support that the municipalities will be receiving from stakeholders and by when will such support be provided.

Gender Policy Framework for Local Government

Municipalities are expected to implement the Employment Equity Act, Act No. 55 of 1998. Based on the audit conducted on compliance with the Employment Equity Act, Act No. 55 of 1998, Maphumulo Local Municipality was workshopped on the development of Employment Equity Plan as per section 20 of the Act. The workshop was conducted on 13.02.2017 at the Municipal Council.

As per the organisational structure, the top management level has 5 approved posts. Of the 5 posts, only 2 are filled; by males only. There is an opportunity to balance gender equity through filling of the 3 vacant posts.

Councillor Development

Subsequent to the Local Government elections held on 03 August 2016, SALGA conducted the Integrated Councillor Induction Programme which was attended by 167 Councillors within the Ilembe District.

The Induction Programme was followed by the Sector-Based Councillor Orientation Programme implemented from October 2016 to February 2017 by the department. Councillors in Maphumulo formed part of the 136 who attended the district sessions.

Councillor Skills Audit

Councillor Skills audit was conducted during the Sector-Based Orientation Programme. A total of 21 Councillors from Maphumulo Local Municipality participated in the audit. The educational levels are outlined above under the district profile.

Skills Development

Section 68 of the Municipal Systems Act provides that municipalities must develop its human resource capacity to a level that enables it to perform its functions and exercise its powers in an economical, effective, efficient and accountable manner and must comply with the Skills Development and the Skills Development Levies Acts. Municipalities should further make provision in their budget for the development and implementation of training programmes. Municipalities are required in terms of Section 3 of the Skills Development Levies Act, to pay a levy of not less than 1% of the leviable amount. The leviable amount refers to the total amount of remuneration paid or payable by an employer to its employees. Seventy (70%) of the levy is retrievable through a grant system, provided certain conditions are met.

During the 2016/2017 financial year, the municipality submitted a Workplace Skills Plans to the LGSETA. The Skills Development levy was paid to the LGSETA.